

01-02-00

PTO/SB/05 (1/98)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

for new nonprovisional applications under 37 C.F.R. 1.53(b)

Attorney Docket No.	NTL-3.2.172/3666
First Inventor	Brian B. EGAN et al
Title	VOICE OPTIMIZATION IN A NETWORK HAVING VOICE OVER INTERNET PROTOCOL COMMUNICATION DEVICES
Express Mail Label No	EL694432817US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ *Fee Transmittal Form (e.g., PTO/SB/17) (DUPLICATE)
(submit an original, and a duplicate for fee processing)
2. ☒ Specification Total Pages [18]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) Total Pages [5] (Figs 1-3)
4. Oath or Declaration Total Pages [2]
- a. ☒ New executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR § 1.63(d)
(for continuation/divisional with box 16 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure ☐ Copies of IDS Citations
Statement (IDS)PTO-1449
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(should be specifically itemized)
13. ☐ *Small Entity ☐ Statement filed in prior application
Statement(s) (PTO/SB/09-12) Status still proper and
desired
14. ☒ Request for Certification Under 35 USC 122(b)(2)(B)(i)
15. ☒ Other Check: \$858.00

*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY
SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED
(37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS
RELIED UPON (37 C.F.R. § 1.26).

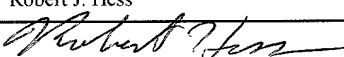
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. ____ / ____

Prior application information: Examiner _____ Group/Art Unit. _____

For CONTINUATION or DIVISIONAL APPS. Only: The entire disclosure of the prior application, from which an oath or declaration is supplied
under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by
reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code Label or ☒ Correspondence address below
(Insert Customer No. Or Attach bar code label here)

Name	COBRIN & GITTES				
Address	750 Lexington Avenue, 21 floor				
City	New York	State	New York	Zip Code	10022
Country	USA	Telephone	(212) 486-4000	Fax	(212) 486-4007
Name (Print/Type)	Robert J. Hess		Registration No. (Attorney/Agent)	32,139	
Signature			Date	December 28, 2000	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments
on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC
20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application,
Washington, D.C. 20231.

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12

Complete if Known

Application Number	To be assigned
Filing Date	Concurrently herewith
First Named Inventor	Brian B. EGAN
Group Art Unit	To be assigned
Examiner	To be assigned

TOTAL AMOUNT OF PAYMENT (\$ 858.00)

Attorney Docket Number NTL-3 2 172/3666

J2912 U.S. PTO
 09/750766
 12/26/88

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit Account Number: 03-2317

Deposit Account Name: COBRIN & GITTES

☐ Charge any additional fee required under 37 CFR 1.16 and 1.17

2. ☒ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Code	(\$)	Code	(\$)		
105	130	205	65	Surcharge - Late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	Request for Reexamination	
112	920*	112	920*	Req. publication of SIR prior to Examiner action	
113	1,840	113	1,840	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension within first month	
116	390	216	195	Extension within second month	
117	890	217	445	Extension within third month	
118	1,390	218	695	Extension within fourth month	
128	1,890	228	945	Extension within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Brief in support of an appeal	
121	270	221	135	Request for oral hearing	

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Code	(\$)	Code	(\$)		
101	710	201	355	Utility filing fee	\$ 710.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) \$ 710.00

2. EXTRA CLAIM FEES

			Extra Claim	Fee below	Fee Paid
Total Claims	26	-20 =	6 x	9 or 18	\$ 108.00
Indep. Claims	3	-3 =	0 x	40 or 80	
Multiple Dependent			130		\$

** or number previously paid, if greater, for reissues, see below

Large Entity		Small Entity		Fee Description	Fee Paid
Code	(\$)	Code	(\$)		
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 108.00)

Other fee (specify) _____

*Reduced by Basic filing fee Paid **SUBTOTAL (3)** \$ 40.00

SUBMITTED BY

Typed or Printed Name Robert J. Hess

Signature *Robert J. Hess*

Complete (if applicable)

Registration Number 32,139

Date December 28, 2000

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMS control number.

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor	Brian B. Egan et al.
	Title	Voice Optimization in a Network Having Voice Over Internet Protocol Communication Devices
	Atty Docket Number	NTL-3.2.172/3666

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

December 28, 2000

Date



Signature

Robert Hess

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing withing forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).